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RAJA PETRA RAJA KAMARUDIN

v.

MENTERI DALAM NEGERI

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FEDERAL COURT, PUTRAJAYA
ALAUDDIN MOHD SHERIFF PCA
ARIFIN ZAKARIA CJ (MALAYA)
RICHARD MALANJUM CJ (SABAH & SARAWAK)
[CRIMINAL APPLICATION NO: 07-1-2009(B)]

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9 JUNE 2009

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CRIMINAL PROCEDURE: *Judgment - Review, application for - Decision and order made by panel consisting of two judges - Whether there was a quorum failure - Inherent power of Federal Court - Rules of the Federal Court 1995, r. 137 - Courts of Judicature Act 1964, ss. 74(1), 78(1)*

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CRIMINAL PROCEDURE: *Jurisdiction of court - Federal Court - Application to review decision and order made by panel consisting of two judges - Whether there was a quorum failure - Inherent power of court - Rules of the Federal Court 1995, r. 137 - Courts of Judicature Act 1964, ss. 74(1), 78(1)*

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CRIMINAL PROCEDURE: *Judge - Quorum - Composition of Federal Court - Decision and order made by panel consisting of two judges - Whether there was a quorum failure - Courts of Judicature Act 1964, ss. 74(1), 78(1)*

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CRIMINAL PROCEDURE: *Trial - Commencement of proceedings - Whether mere consideration of an application for adjournment set substantive matter in motion - Courts of Judicature Act 1964, ss. 74(1), 78(1)*

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CONSTITUTIONAL LAW: *Courts - Federal Court - Application to review decision and order made by panel consisting of two judges - Whether there was a quorum failure - Inherent power of court - Rules of the Federal Court 1995, r. 137 - Courts of Judicature Act 1964, ss. 74(1), 78(1)*

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CONSTITUTIONAL LAW: *Courts - Jurisdiction - Application to review decision and order made by panel consisting of two judges - Whether there was a quorum failure - Inherent power of Federal Court - Rules of the Federal Court 1995, r. 137 - Courts of Judicature Act 1964, ss. 74(1), 78(1)*

CONSTITUTIONAL LAW: *Courts - Judicial power - Composition of Federal Court - Decision and order made by panel consisting of two judges - Whether there was a quorum failure - Courts of Judicature Act 1964, ss. 74(1), 78(1)* A

This was an application by one Raja Petra Kamarudin ('Raja Petra') pursuant to r. 137 Rules of the Federal Court 1995 ('RFC') pertaining to a pending appeal by the Minister of Home Affairs and a cross-appeal by Raja Petra. The hearing of the appeal and cross-appeal came before a three-member panel consisting of Nik Hashim, Augustine Paul and Zulkefli Makinudin FCJJ. Raja Petra applied to recuse Augustine Paul FCJ, and a short adjournment was allowed to enable the filing of the formal application on the recusal. When the formal application came up for hearing, Augustine Paul FCJ voluntarily requested to be excused from hearing it. The remaining two members of the panel proceeded to hear the application despite the objection by Raja Petra's counsel that it would be unconstitutional to do so. The two-member panel summarily dismissed the objection on the ground that they were constitutionally empowered to continue the hearing by virtue of s. 78 Courts of Judicature Act 1964 ('CJA'). On the recusal application itself, the two-member panel dismissed it after considering the arguments submitted, and subsequently invited Augustine Paul FCJ to take his seat on the Bench. Three applications by Raja Petra were then heard and dismissed by the three-member panel. Raja Petra now sought a review of the decisions of both the two-and three-member panels. B
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Held (allowing the application)

Per Richard Malanjum CJ (Sabah & Sarawak) delivering the judgment of the court:

- (1) Any grant or refusal of adjournment admittedly involves an exercise of discretion. In this case, a short adjournment was granted by the three-member panel. However, merely considering an application for an adjournment could not be said to have set the substantive matter in motion. It could not be said that the proceeding had commenced so as to justify the invocation of s. 78(1) CJA merely because an adjournment was allowed to enable a formal application for recusal to be filed. Accordingly, when the two-member panel proceeded to hear the recusal application, s. 74(1) CJA was offended. Hence, there was a quorum failure, and this was an appropriate case for the exercise of the inherent power of this court as crystallized in G
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- A r. 137 RFC. Thus, the decision and order of the two-member panel in respect of the application to recuse Augustine Paul FCJ, and the other decisions and orders made subsequent to the impugned decision and order, ought to be set aside. *Wan Khairani Wan Mahmood v. Ismail Mohamad & Anor* (foll); *Chia Yan Tek & Anor v. Ng Swee Kiat & Anor* (foll).
- B

[Order accordingly.]

Bahasa Malaysia Translation Of Headnotes

- C Ini adalah permohonan oleh seorang yang bernama Raja Petra Kamarudin ('Raja Petra') mengikut k. 137 Kaedah-kaedah Mahkamah Persekutuan 1995 ('KMP') berhubung dengan satu rayuan yang belum selesai oleh Menteri Dalam Negeri dan satu rayuan balas oleh Raja Petra. Perbicaraan rayuan dan rayuan balas
- D dihadapkan di depan suatu panel tiga orang hakim yang terdiri daripada Nik Hashim, Augustine Paul dan Zulkefli Makinudin HHMP. Raja Petra memohon supaya Augustine Paul HMP menarik diri, dan suatu penangguhan singkat dibenarkan supaya permohonan rasmi penarikan diri dapat difailkan. Apabila permohonan rasmi
- E tersebut dikemukakan untuk pembicaraan, Augustine Paul HMP meminta secara rela untuk dikecualikan dari mendengarnya. Ahli panel yang tertinggal terus mendengar permohonan tersebut meskipun peguam Raja Petra membantah bahawa ia tidak mengikut perlembagaan untuk berbuat sebegitu. Panel yang terdiri daripada
- F dua ahli tersebut menolak bantahan itu secara terus atas alasan mereka mempunyai kuasa berperlembagaan untuk meneruskan perbicaraan tersebut menurut s. 78 Akta Mahkamah Kehakiman 1964 ('AMK'). Berkenaan permohonan penarikan diri tersebut, panel yang terdiri daripada dua ahli tersebut menolaknya selepas
- G menimbang hujahan-hujahan yang dikemukakan, dan kemudiannya meminta Augustine Paul HMP untuk mengambil tempatnya di Anggota Kehakiman. Tiga permohonan oleh Raja Petra selepas itu didengar dan ditolak oleh panel yang terdiri daripada tiga ahli. Raja Petra sekarang menuntut semakan
- H keputusan-keputusan kedua-dua panel yang terdiri daripada dua dan tiga ahli.

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Diputuskan (membenarkan permohonan)

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**Oleh Richard Malanjum HB (Sabah & Sarawak)
menyampaikan penghakiman mahkamah:**

- (1) Apa-apa penangguhan yang dibenarkan atau ditolak sememangnya melibatkan sesuatu penggunaan budi bicara. Dalam kes semasa, satu penangguhan singkat telah dibenarkan oleh panel yang terdiri daripada tiga ahli. Walau bagaimanapun, pertimbangan sesuatu permohonan untuk penangguhan sahaja tidak boleh dikatakan telah memulakan perkara substantif tersebut. Ia tidak boleh dikatakan bahawa prosiding telah bermula supaya membenarkan penggunaan s. 78(1) AMK hanya kerana sesuatu penangguhan telah diberi untuk membenarkan pemfailan suatu permohonan penarikan diri. Oleh yang demikian, apabila panel yang terdiri daripada dua ahli terus mendengar permohonan penarikan diri tersebut, s. 74(1) AMK telah dilanggar. Oleh itu, terdapat kegagalan korum, dan ini merupakan kes yang sesuai bagi penggunaan kuasa sedia ada mahkamah ini seperti yang diperjelaskan di dalam k. 137 KMP. Oleh yang demikian, keputusan dan perintah panel yang terdiri daripada dua ahli berhubung dengan permohonan penarikan diri Augustine Paul HMP, dan keputusan-keputusan dan perintah-perintah lain yang dibuat selepas keputusan dan perintah yang dipertikaikan tersebut, seharusnya diketepikan. *Wan Khairani Wan Mahmood v. Ismail Mohamad & Anor* (diikuti); *Chia Yan Tek & Anor v. Ng Swee Kiat & Anor* (diikuti).

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[Diperintah sedemikian.]

Case(s) referred to:

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Asean Security Paper Mills Sdn Bhd v. Mitsui Sumitomo Insurance (Malaysia) Bhd [2008] 6 CLJ 1 FC (*refd*)

Chia Yan Tek & Anor v. Ng Swee Kiat & Anor [2001] 4 CLJ 61 FC (*foll*)

Savrimuthu Sinnapan v. PP [1987] 1 CLJ 368; [1987] CLJ (Rep) 322 SC (*refd*)

Wan Khairani Wan Mahmood lwn. Ismail Mohamad & Satu Lagi [2007] 4 CLJ 28 CA (*foll*)

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Legislation referred to:

Courts of Judicature Act 1964, ss. 38(1), 42(1), 74(1), 78(1)

Rules of the Federal Court 1995, r. 137

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- A *For the appellant - Malik Imtiaz Sarwar (Azhar Azizan Harun, Ashok Kandiah, J Chandra & Neoh Hor Kee with him); M/s Matthews Hun Kandiah*
For the respondent - Tun Majid Tun Hamzah DPP (Abdul Wahab Mohamed & Najib Zakaria SSFC with him)
- B *Reported by Suresh Nathan*

JUDGMENT

C **Richard Malanjum CJ (Sabah & Sarawak):**

- [1] Before us is an application by Raja Petra bin Kamarudin (Raja Petra) pursuant to r. 137 of the Rules of the Federal Court 1995 (RFC).
- D [2] It is connected to the pending appeal by the Minister of Home Affairs (Criminal Appeal No. 05-130-2008(B) and the cross-appeal by Raja Petra (Criminal Appeal No. 05-143-2008).
- E [3] The hearing of the appeal and cross-appeal came before a three-member panel consisting of Nik Hashim, Augustine Paul and Zulkefli FCJJ on 11 February 2009.
- F [4] At the outset of the hearing learned counsel for Raja Petra applied to recuse Augustine Paul FCJ. Basically the ground relied on was that there might be a real danger of bias on the part of the learned Judge since Raja Petra was critical of him in his website in 2001. It was also submitted that the judge had dismissed the *habeas corpus* application of Raja Petra in 2001 which was subsequently allowed by the Federal Court.
- G [5] A short adjournment was therefore applied to enable the filing of a formal application on the recusal. Initially the panel was inclined to dismiss the application for adjournment. However, on further consideration an adjournment was allowed on condition that
- H Raja Petra had to file his application the following day, 12 February 2009.
- I [6] When the formal application on recusal came up for hearing on 17 February 2009 Augustine Paul FCJ voluntarily requested to be excused from hearing it. The reason was based on the principle that justice must not only be done but also must be seen to be done.

[7] The remaining members, consisting of two-member panel, then proceeded to hear the application despite the objection by learned counsel for Raja Petra that it would be unconstitutional to do so. A

[8] The objection was summarily dismissed on the ground that they were constitutionally empowered to continue the hearing by virtue of s. 78 of the Courts of Judicature Act 1964 (CJA). Principally the two-member panel in their judgment dated 9 April 2009 and forwarded to us on 27 April 2009 took the position: B

i. That the proceeding had already commenced on 11 February 2009 in which Augustine Paul FCJ participated in the exercise of discretion in allowing the application for adjournment by Raja Petra to enable him to file the formal application on recusal; and C

ii. That the provision of s. 78 of CJA applied. The phrase ‘illness or any other cause’ does not attract the *ejusdem generis* principle. The word ‘illness’ does not create a genus so as to limit the general words ‘any other cause’ to ‘causes of the same kind as illness which preceded it’. As such the temporary absence of Augustine Paul FCJ to attend the proceeding for the stated reasons would be permissible under the words “any other cause” in the section. D E

[9] On the recusal application itself, the two-member panel dismissed it after considering the arguments submitted. In their judgment the two-member panel was of the view that the basis of making the application, namely, ‘there be a real danger of bias’ was ‘far-fetched and ludicrous’ because: F

i. It was Raja Petra who was critical to Augustine Paul FCJ to begin with in 2001 in his website; G

ii. There was no response from Augustine Paul FCJ against the criticism nor any contempt proceeding taken against Raja Petra for the criticism; and H

iii. As for the dismissal of the application for *habeas corpus* by Raja Petra by Augustine Paul FCJ it was held that a judge ‘is not precluded from hearing a case against a person when he had in the past heard another case against the person if the facts in the cases are different’. It was also observed that in the previous *habeas corpus* application by Raja Petra the Judge did not ‘go I

A into the facts of the case as it was agreed by the parties in that case that the decision in one case that was being heard shall be binding' on the application by Raja Petra.

B [10] Upon dismissal of the application the two-member panel invited back Augustine Paul FCJ to take his seat on the bench. Three applications by Raja Petra were then heard and dismissed by the three-member panel, namely:

- C i. an application to have a quorum of five or seven judges to hear the appeal and cross-appeal;
- ii. an application for leave to adduce further evidence, that is, 'evidence of the state of affairs' since his release; and
- D iii. an application for leave to adduce further evidence, that is, evidence of admissions by investigating officer pertaining to matters as the basis of Minister's discretion.

E [11] Raja Petra is now seeking for the review of the decision of the two-member panel as well as the subsequent decisions of the three-member panel.

[12] As against the decision of the two-member panel the application for review is premised on the following:

- F i. That there was a quorum failure. The circumstances did not warrant the invocation of s. 78(1) of CJA. The sitting was therefore unlawful and unconstitutional; and
- ii. Apparent bias of the two-member panel.

G [13] As against the subsequent decisions of the three-member panel the basis of applying for the review are:

- H i. The decisions were contaminated 'by the flawed decision' for not recusing Augustine Paul FCJ. The right to a 'fair and impartial hearing was compromised by the involvement' of Augustine Paul FCJ; and
- ii. The decisions in respect of applications for leave to adduce further evidence 'were contaminated by apparent bias'.

I [14] In order for this present application to succeed, being made pursuant to r. 137, the established threshold as propounded by this court in several of its decisions must be satisfied.

- [15] Whilst declaring that this court has the inherent jurisdiction to review its own decision in order to prevent miscarriage of justice, it is also clearly spelt out that such exercise must be carried out sparingly and only in exceptional cases. In no case the jurisdiction should be abused. Further, under ‘no circumstances should the court position itself as if it were hearing an appeal and decide the case as such. In other words, it is not for the court to consider whether this court had or had not made a correct decision on the facts. That is a matter of opinion. Even on the issue of law, it is not for this court to determine whether this court had earlier, in the same case, interpreted or applied the law correctly or not. That too is a matter of opinion’. per Abdul Hamid Mohamad CJ (as he then was) in *Asean Security Paper Mills Sdn Bhd v. Mitsui Sumitomo Insurance (Malaysia) Bhd* [2008] 6 CLJ 1. A B C
- [16] Although this court has taken a very cautious approach when dealing with an application under r. 137 it has also been made plain that its earlier decisions are merely instances. Emphasis was made that ‘it is not wise to even attempt to list out the other instances where this court should exercise such discretion. It is best to leave the question open and decide the applications as they come before this court.’ per Zaki Tun Azmi PCA (as he then was) in *Asean Security Paper Mills Sdn Bhd v. Mitsui Sumitomo Insurance (Malaysia) Bhd* (*supra*). D E
- [17] One instance where this court invoked r. 137 was due to quorum failure. It was held that the court was not duly constituted when it rendered its judgment. It occurred when there was only one remaining judge on the date of pronouncement of the judgment. The two others had retired although they had signed on the judgment earlier. (See: *Chia Yan Tek & Anor v. Ng Swee Kiat & Anor* [2001] 4 CLJ 61). F G
- [18] In the present case the two-member panel considered the application for the recusal of Augustine Paul FCJ. Ordinarily such sitting is contrary to s. 74(1) of CJA which stipulates that ‘every proceeding in the Federal Court shall be heard and disposed of by three judges or such greater uneven number of judges as the Chief Justice may in any particular case determine’. H
- [19] However for the reasons given in their judgment as indicated above the two-member panel ruled that they could continue with the hearing. I

A [20] With respect we do not think it is tenable to say that the hearing had commenced just because an application for adjournment was made and allowed.

B [21] Indeed a similar situation arose in the case of *Wan Khairani Wan Mahmood Iwn. Ismail Mohamad & Satu Lagi* [2007] 4 CLJ 28 before the Court of Appeal in which Augustine Paul JCA (as he then was) addressed the issue. No doubt it was a dissenting judgment. However, the issue was not a determinative point in the majority judgment. Thus, we think it is appropriate in this case to consider the reasoning given in the dissenting judgment when addressing the issue. Moreover the provisions in CJA discussed by the learned judge are in *pari materia* with the statutory provisions relevant in this case.

D [22] In addressing the issue this is what the learned judge had to say (at pp. 45-46):

E The section (section 42(1) of CJA) is applicable when a judge is unable to exercise his functions through illness or any other cause in the course of a proceeding or in the case of a reserved judgment. There is no question of there being a reserved judgment in this case. So this is a case of a judge becoming unable to exercise his functions in the course of a proceeding.

F The phrase ‘... in the course of a proceeding ...’ in s. 42(1) of the CJA is a reference to a proceeding that has already commenced. What requires consideration is the stage to which the proceeding must have moved before resort can be had to s. 42(1) of the CJA to enable the remaining judges to continue with the proceeding. It must be remembered that the object of the section is to prevent the inconvenience of a rehearing of a proceeding to the parties when a member of the panel hearing it is unable to continue to do so. This will certainly not include a proceeding which has been merely called up for hearing. The phrase ‘... in the course of a proceeding ...’ must therefore refer to a proceeding where its hearing has commenced and proceeded to such an extent that it will be inconvenient to have it reheard. In this case when the four matters were called up for hearing Gopal Sri Ram JCA recused himself from hearing the proceedings on his own accord after encl. 42(a) was withdrawn. At that stage it cannot be said that the proceedings had commenced for the purpose of s. 42(1) of the CJA. The remaining two judges proceeding with the matters in such circumstances offends s. 38(1) of the CJA which reads as follows:

I Subject as hereinafter provided, every proceeding in the court of Appeal shall be heard and disposed of by three judges or such greater uneven number of judges as the President may in any particular case determine.

As s. 42(1) of the CJA is inapplicable to the circumstances of this case the proceedings ought to have been heard before a full quorum within the meaning of s. 38(1) of CJA. Alternatively the application for the interim orders ought to have been heard by one of the two judges sitting as a single judge. Neither course was adopted. The result is that the continuation of the hearing of the proceedings by the remaining two judges cannot be said to be valid. (emphasis added)

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[23] Exchange those sections referred to by the learned judge with ss. 74(1) and 78(1) of CJA and his view becomes very relevant in the present case. The only difference is that in this case the application for recusal was made by learned counsel for Raja Petra. In *Wan Khairani (supra)* the presiding judge voluntarily recused himself. In our view the difference is immaterial. The consequence is the same. One less judge in the panel. Hence, except for the minor difference the events which transpired in both cases are almost identical.

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[24] The question therefore in this case is whether the hearing of the recusal application by the two-member panel offended s. 74(1) of CJA. If we were to follow the view of Augustine Paul FCJ in *Wan Khairani (supra)* the hearing did offend the provision. When adjournment was allowed the proceeding had not come to a stage where 'its hearing has commenced and proceeded to such an extent that it will be inconvenient to have it reheard'. In our view this approach makes sense. We have no hesitation in adopting it in coming to our decision in this case. In fact even in trial cases it was held that 'in the context the commencement of trial must mean the commencement of the examination, cross-examination and re-examination of one or more witnesses.' (See: *Savrimuthu Sinnapan v. PP* [1987] 1 CLJ 368; [1987] CLJ (Rep) 322).

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[25] Admittedly any grant or refusal of adjournment involves an exercise of discretion. In this case a short adjournment was granted by the three-member panel. However, in our view merely considering an application for an adjournment cannot be said to have set the substantive matter in motion. In *Wan Khairani (supra)* the presiding judge recused himself when the four matters were called up. In the present case an application to have Augustine Paul FCJ recused was made when the matter was called up. In *Wan Khairani (supra)* it was the view of Augustine Paul JCA (as he then was) that at 'that stage it cannot be said that the proceedings had commenced for the

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A purpose of s. 42(1) of the CJA. The remaining two judges
proceeding with the matters in such circumstances offends s. 38(1)
of the CJA'. We find no difference in the present case. It cannot
be said that the proceeding had commenced so as to justify the
invocation of s. 78(1) of CJA merely because an adjournment was
B allowed to enable a formal application for recusal to be filed.

[26] Accordingly, when the two-member panel proceeded to hear
the recusal application, s. 74(1) of CJA was offended. Unlike in
Wan Khairani (supra) where the majority judgment was dealing with
C an order which even a single judge could hear, the present case did
not have that option.

[27] It is therefore our considered opinion that there was a quorum
failure. This is an appropriate case for the exercise of the inherent
power of this court as crystallized in r. 137. Thus, the decision to
D dismiss the application for recusal and the order made to invite
Augustine Paul FCJ to take his seat should be set aside.

[28] In view of our foregoing conclusion it is not necessary for us
to consider the other arguments advanced by both sides. Suffice to
E say that whichever way we would have ruled on those issues it would
not have made us change our decision.

[29] Finally, as was done in *Chia Yan Tek (supra)* we would allow
encl. 2(a) to the extent that we set aside the decision and order of
F the two-member panel in respect of the application to recuse
Augustine Paul FCJ (encl. 17(a)). It follows that the other decisions
and orders in relation to encl. 12(a), 13(a) and 21 (a) made
subsequent to the impugned decision and order are hereby set aside
as well. The Federal Court Registry will fix a new date for the
G rehearing of these applications.

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